

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

## PATENT APPLICATION

ATTORNEY DOCKET NO. 10003977 -1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Michael D Whitmarsh et al

Confirmation No.: 5015

Application No.: 09/710287

Examiner: PHAM, THIERRY L

Filing Date: Nov 10, 2000

Group Art Unit: 2621

Title: Print Processing System And Method With Document Advisor Service

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ( ) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: \_\_\_\_\_

OR

- (X) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number (703) 872-9306 on 6/25/04  
Number of pages: 15

Typed Name: Heather A. Ellis

Signature: Heather A. Ellis

Respectfully submitted,

Michael D Whitmarsh et al

By

James R. McDaniel

Attorney/Agent for Applicant(s)  
Reg. No. 34,481Date: 6/25/04

TO: EXAMINER - PHAM, THIERRY L

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RE: PATENT APPLICATION ATTORNEY DOCKET NO. 10003977-1

JUN 25 2004

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

INVENTOR(S): WHITMARSH et al

CONFIRMATION NO: 5015

SERIAL NO.: 09/710287

GROUP ART UNIT: 2621

FILED: NOV 10, 2000

EXAMINER: Pham, Thierry

SUBJECT: Print Processing System and Method with Document Advisor  
Service

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile  
transmitted to the Patent and Trademark Office (Fax No. (703) 872-9306) on  
the date shown below:

1. This Certification of Facsimile Transmission (1 pg.)
2. Information Disclosure Statement (1 pg)
3. Statement Under 37 CFR 1.97(e) (1 pg)
4. Form PTO-1449 (1 pg)
5. Search Report for EP Application 01112621 (2 pp)
6. 1 cited reference (9 pp)

Date of Facsimile JUNE 25, 2004Typed Name Heather A. EllisSignature Heather A. EllisNUMBER OF PAGES IN FACSIMILE: 15

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Title: Print Processing System And Method With Document Advisor Service

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Commissioner for Patents  
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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Michael D Whitmarsh et al

By 

James R. McDaniel

Attorney/Agent for Applicant(s)

Reg. No. 34,481

Date: 6/25/04

Telephone No.: (208) 396-4095

## PATENT APPLICATION

Sheet 1 of 1

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	10003977-1	09/710,287	5015
	APPLICANT		
	WHITMARSH et al		
	FILING DATE	GROUP	
	NOV 10, 2000	2621	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,897,260	APR 27, 1999	ZINGHER	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	EP Search Report
1R	
1S	

EXAMINER

DATE CONSIDERED